

10/580829

AP9 Rec'd PCT/PTO 26 MAY 2006

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

DOI et al

International Appln. No.: PCT/JP2004/017465

Filed: Concurrently herewith

Attorney Dkt. No.: 65341.00008

For: WATER-BASE LUBRICANT FOR PLASTIC FORMING

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

May 26, 2006

**Attention: PCT DO/EO/US**

Sir:

This is an Information Disclosure Statement submitted under 37 C.F.R.1.97(b).

The references cited in the attached Form PTO-1449 were cited in the enclosed International Search Report issued by the Japanese Patent Office in connection with the above international application. It is understood that the Japanese Patent Office has sent a copy of each of the cited references to the U.S. Patent and Trademark Office. However, for the Examiner's convenience, a copy of each cited reference other than U.S. patents or U.S. patent publications are attached hereto. References AA, AB and AD-AL cited in the attached PTO-1449 form are discussed in the present specification. Reference AF cited in the attached PTO-1449 form corresponds to U.S. Patent No. 5,348,672 (Reference AA). Reference AH also cited in the attached PTO-

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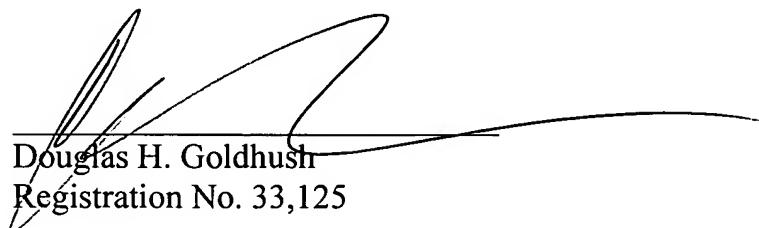
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1449 form corresponds to U.S. Patent No. 6,194,357 (Reference AB). Reference AQ corresponds to U.S. Patent No. 4,242,211 (Reference AC) both cited in the attached PTO-1449 form.

In view of the above, all requirements of 37 CFR 1.97 and all official guidelines pertaining to Information Disclosure Statements have been complied with, and it is therefore respectfully requested that the Examiner consider the references and make them of record in this application.

In the event that there are any fees due with respect to the filing of this paper, please charge Counsel's Deposit Account No. 50-2222.

Respectfully submitted,



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Enclosures: PTO-1449 Form  
International Search Report  
References (15)

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|   |  |  |                           |                                 |                               |
|---|--|--|---------------------------|---------------------------------|-------------------------------|
| FORM PTO-1449   |  | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |                           | ATTY. DOCKET NO.<br>65341.00008 | SERIAL NO.<br>New Application |
| LIST OF REFERENCES CITED BY APPLICANT<br><i>(Use several sheets if necessary)</i> |  | APPLICANT<br>DOI et al                                     |                           |                                 |                               |
|   |  | FILING DATE<br>May 26, 2006                                | GROUP<br>Not yet assigned |                                 |                               |

## U.S. PATENT DOCUMENTS

| EXAMINER INITIAL |    | DOCUMENT NO. | DATE       | NAME         | CLASS | SUB-CLASS | FILING DATE |
|------------------|----|--------------|------------|--------------|-------|-----------|-------------|
|                  | AA | 5,348,672    | 09/20/1994 | Ohkura et al |       |           |             |
|                  | AB | 6,194,357    | 02/27/2001 | Murata et al |       |           |             |
|                  | AC | 4,242,211    | 12/30/1980 | Nagura       |       |           |             |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT NO. | DATE       | COUNTRY | CLASS | SUB-CLASS | TRANSLATION<br>YES    NO    PART. |
|--|----|--------------|------------|---------|-------|-----------|-----------------------------------|
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OTHER REFERENCES (*Including Author, Title, Date, Pertinent Pages, Etc.*)

|          |  |                 |
|----------|--|-----------------|
| AS       |  |                 |
| EXAMINER |  | DATE CONSIDERED |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.